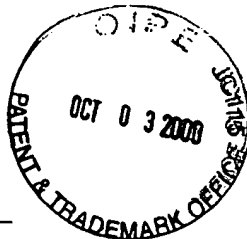


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**BOX AF**



AF/8  
2747

Attorney's Docket No.: 080398.P109

Patent

In re the Application of: Claar et al.

(inventor(s))

Application No.: 08/936,708

Filed: September 24, 1997

For: Method and Apparatus for Providing a Graphical User Interface for a Player Recorder/System

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

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The fee has been calculated as shown below:

|                  | (Col. 1)   |       | (Col. 2)                              | (Col. 3)         |
|------------------|--|-------|---------------------------------------|------------------|
|                  | Claims<br>Remaining<br>After Amd.                    |       | Highest No.<br>Previously<br>Paid For | Present<br>Extra |
| Total<br>Claims  | * 22   | Minus | ** 20                                 | 2                |
| Indep.<br>Claims | * 6  | Minus | *** 3                                 | 3                |
|                  | First Presentation of Multiple<br>Dependent Claim(s) |       |                                       |                  |

| SMALL ENTITY      |                   |
|-------------------|-------------------|
| Rate              | Additional<br>Fee |
| X9                | \$ 0              |
| X39               | \$ 0              |
| +130              | \$ 0              |
| Total<br>Add. Fee | \$ 0              |

| OTHER THAN A<br>SMALL ENTITY |                   |
|------------------------------|-------------------|
| Rate                         | Additional<br>Fee |
| X18                          | \$ 36.00          |
| X78                          | \$ 234.00         |
| +260                         | \$ 0              |
| Total<br>Add. Fee            | \$ 270.00         |

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on September 29, 2000  
Date of Deposit

Debbie Peloquin  
Name of Person Mailing Correspondence

Debbie Peloquin  
Signature

September 29, 2000  
Date

Docket No.: 080398.P109  
Application No.: 08/936,708

X A check in the amount of \$ 270.00 is attached for presentation of additional claim(s).  
X Applicant hereby Petitions for an Extension of Time should one be necessary pursuant to 37 C.F.R. § 1.136(a).

       A check for \$                      is attached for processing fees under 37 C.F.R. § 1.17.

       Please charge my Deposit Account No. 02-2666 the amount of \$                     .

**A duplicate copy of this sheet is enclosed.**

X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (**a duplicate copy of this sheet is enclosed**):

  X   Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

  X   Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: September 29, 2000



Mark J. Fink

Reg. No. 45,270

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(303) 740-1980



PTO/SB/17(6/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2000****TOTAL AMOUNT OF PAYMENT (\$)** \$270.00

Complete if Known:

Application No. 08/936,708Filing Date September 24, 1997First Named Inventor Claar et al.Group Art Unit 2747Examiner Name Lee, P.Attorney Docket No. 080398.P109**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check  
\_\_\_\_ Money Order  
\_\_\_\_ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description                    | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                    |          |
| 101          | 690      | 201          | 345      | Utility application filing fee     | _____    |
| 106          | 310      | 206          | 155      | Design application filing fee      | _____    |
| 107          | 480      | 207          | 240      | Plant filing fee                   | _____    |
| 108          | 690      | 208          | 345      | Reissue filing fee                 | _____    |
| 114          | 150      | 214          | 75       | Provisional application filing fee | _____    |

**SUBTOTAL (1)** \$ 0.00**2. EXTRA CLAIM FEES**

|                    |       |          | Extra Claims | Fee from below | Fee Paid |
|--------------------|-------|----------|--------------|----------------|----------|
| Total Claims       | _____ | - 20** = | _____        | X _____        | = _____  |
| Independent Claims | _____ | - 3** =  | _____        | X _____        | = _____  |
| Multiple Dependent | _____ |          |              | _____          | = _____  |

**\*\*Or number previously paid, if greater; For Reissues, see below.**

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |
| 102          | 78       | 202          | 39       | Independent claims in excess of 3                         |
| 104          | 260      | 204          | 130      | Multiple dependent claim, if not paid                     |
| 109          | 78       | 209          | 39       | **Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** \$ 0.00**FEE CALCULATION (continued)**

01/10/2000

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

### 3. ADDITIONAL FEES

| Large Entity        |          | Small Entity                      |          | Fee Description  | Fee Paid  |
|---------------------|----------|-----------------------------------|----------|--|-----------|
| Fee Code            | Fee (\$) | Fee Code                          | Fee (\$) |  |           |
| 105                 | 130      | 205                               | 65       | Surcharge - late filing fee or oath  |           |
| 127                 | 50       | 227                               | 25       | Surcharge - late provisional filing fee or cover sheet                     |           |
| 139                 | 130      | 139                               | 130      | Non-English specification  |           |
| 147                 | 2,520    | 147                               | 2,520    | For filing a request for reexamination                                     |           |
| 112                 | 920*     | 112                               | 920*     | Requesting publication of SIR prior to Examiner action                     |           |
| 113                 | 1,840*   | 113                               | 1,840*   | Requesting publication of SIR after Examiner action                        |           |
| 115                 | 110      | 215                               | 55       | Extension for response within first month                                  |           |
| 116                 | 380      | 216                               | 190      | Extension for response within second month                                 |           |
| 117                 | 870      | 217                               | 435      | Extension for response within third month                                  |           |
| 118                 | 1,360    | 218                               | 680      | Extension for response within fourth month                                 |           |
| 128                 | 1,850    | 228                               | 925      | Extension for response within fifth month                                  |           |
| 119                 | 300      | 219                               | 150      | Notice of Appeal   |           |
| 120                 | 300      | 220                               | 150      | Filing a brief in support of an appeal                                     |           |
| 121                 | 260      | 221                               | 130      | Request for oral hearing   |           |
| 138                 | 1,510    | 138                               | 1,510    | Petition to institute a public use proceeding                              |           |
| 140                 | 110      | 240                               | 55       | Petition to revive unavoidably abandoned application                       |           |
| 141                 | 1,210    | 241                               | 605      | Petition to revive unintentionally abandoned application                   |           |
| 142                 | 1,210    | 242                               | 605      | Utility issue fee (or reissue)   |           |
| 143                 | 430      | 243                               | 215      | Design issue fee   |           |
| 144                 | 580      | 244                               | 290      | Plant issue fee  |           |
| 122                 | 130      | 122                               | 130      | Petitions to the Commissioner  |           |
| 123                 | 50       | 123                               | 50       | Petitions related to provisional applications                              |           |
| 126                 | 240      | 126                               | 240      | Submission of Information Disclosure Stmt                                  |           |
| 581                 | 40       | 581                               | 40       | Recording each patent assignment per property (times number of properties) |           |
| 146                 | 690      | 246                               | 345      | For filing a submission after final rejection (see 37 CFR 1.129(a))        |           |
| 149                 | 690      | 249                               | 345      | For each additional invention to be examined (see 37 CFR 1.129(a))         |           |
| Other fee (specify) |          | Presentation of Additional Claims |          |  | \$270.00  |
| Other fee (specify) |          |                                   |          |  |           |
| SUBTOTAL (3)        |          |                                   |          |  | \$ 270.00 |

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Mark J. Fink

Signature \_\_\_\_\_ Date \_\_\_\_\_

Reg. Number P-45, 270 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)

#### FIRST CLASS CERTIFICATE OF MAILING (37 C.F.R. § 1.8 (a) )

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20531.

On September 29, 2000  
Date of Deposit

Debbie Peloguin  
Name of Person Mailing Correspondence

Debbie Peloguin 9-29-00  
Signature PTO/SB/47 (6/00) Date

Docket No.: 080398.P109  
Application No.: 08/936,708